

SARS-CoV-2

Therapeutic Consideration
CZV2-14+Humic Acid

28 March 2020 w/ Amendments

Presentation Map



Epidemiology



Viral Anatomy & Mechanism of Infection



What is Translational Medicine?



Humic Acid



Humic Acid Clinical Studies



Peptides – Clinical Applications & Product Development



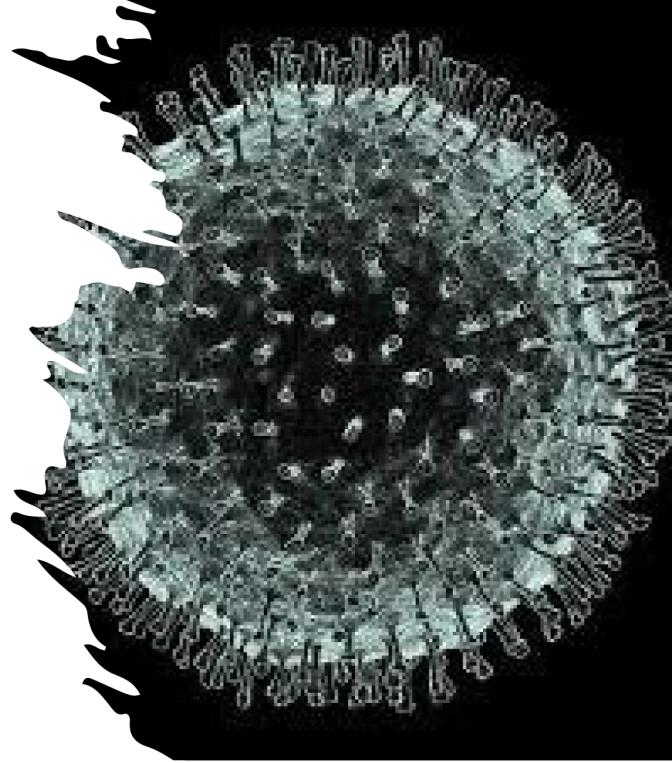
Real World Data, Toxicity & Cell Proliferation Studies & Future Developments

**Johns Hopkins
University's Center for
Systems Science and
Engineering**

***COVID-19 Prevalence
Map***

**Boston Children's
Hospital**

Health Map





Total Confirmed

713,171

Confirmed Cases by
Country/Region/Sovereignty

- 136,880 US
- 97,689 Italy
- 82,122 China
- 78,799 Spain
- 61,164 Germany
- 40,704 France
- 38,309 Iran
- 19,772 United Kingdom
- 14,829 Switzerland
- 10,930 Netherlands



Cumulative Confirmed Cases

Active Cases

177
countries/regions

Lancet Inf Dis Article: [Here](#). Mobile Version: [Here](#). Visualization: JHU CSSE. Automation Support: Esri Living Atlas team and JHU APL. Contact US. FAQ.
Data sources: WHO, CDC, ECDC, NHC, DXY, 1point3acres, Worldometers.info, BNO, state

Total Deaths

33,597

10,779 deaths
Italy

6,606 deaths
Spain

3,182 deaths
Hubei China

2,640 deaths
Iran

2,606 deaths
France

1,228 deaths
United Kingdom

Total Recovered

148,995

75,582 recovered
China

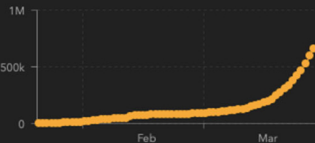
14,709 recovered
Spain

13,030 recovered
Italy

12,391 recovered
Iran

9,211 recovered
Germany

7,226 recovered
France



Confirmed

Logarithmic

Daily Increase

Last Updated at (M/D/YYYY)

3/29/2020, 12:47:54 PM

Admin0

WHO Director-General's opening remarks at the media briefing on COVID-19 - 11 March 2020

Good afternoon.

In the past two weeks, the number of cases of COVID-19 outside China has increased 13-fold, and the number of affected countries has tripled.

There are now more than 118,000 cases in 114 countries, and 4,291 people have lost their lives.

Thousands more are fighting for their lives in hospitals.

In the days and weeks ahead, we expect to see the number of cases, the number of deaths, and the number of affected countries climb even higher.

WHO has been assessing this outbreak around the clock and we are deeply concerned both by the alarming levels of spread and severity, and by the alarming levels of inaction.

We have therefore made the assessment that COVID-19 can be characterized as a pandemic.

Pandemic is not a word to use lightly or carelessly. It is a word that, if misused, can cause unreasonable fear, or unjustified acceptance that the fight is over, leading to unnecessary suffering and death.

Describing the situation as a pandemic does not change WHO's assessment of the threat posed by this virus. It doesn't change what WHO is doing, and it doesn't change what countries should do.

*We have never before seen a pandemic sparked by a coronavirus. **This is the first pandemic caused by a coronavirus.***

*And **we have never before seen a pandemic that can be controlled,** at the same time.*

WHO has been in full response mode since we were notified of the first cases.

And we have called every day for countries to take urgent and aggressive action.

We have rung the alarm bell loud and clear.

Asymptomatic Transmission?

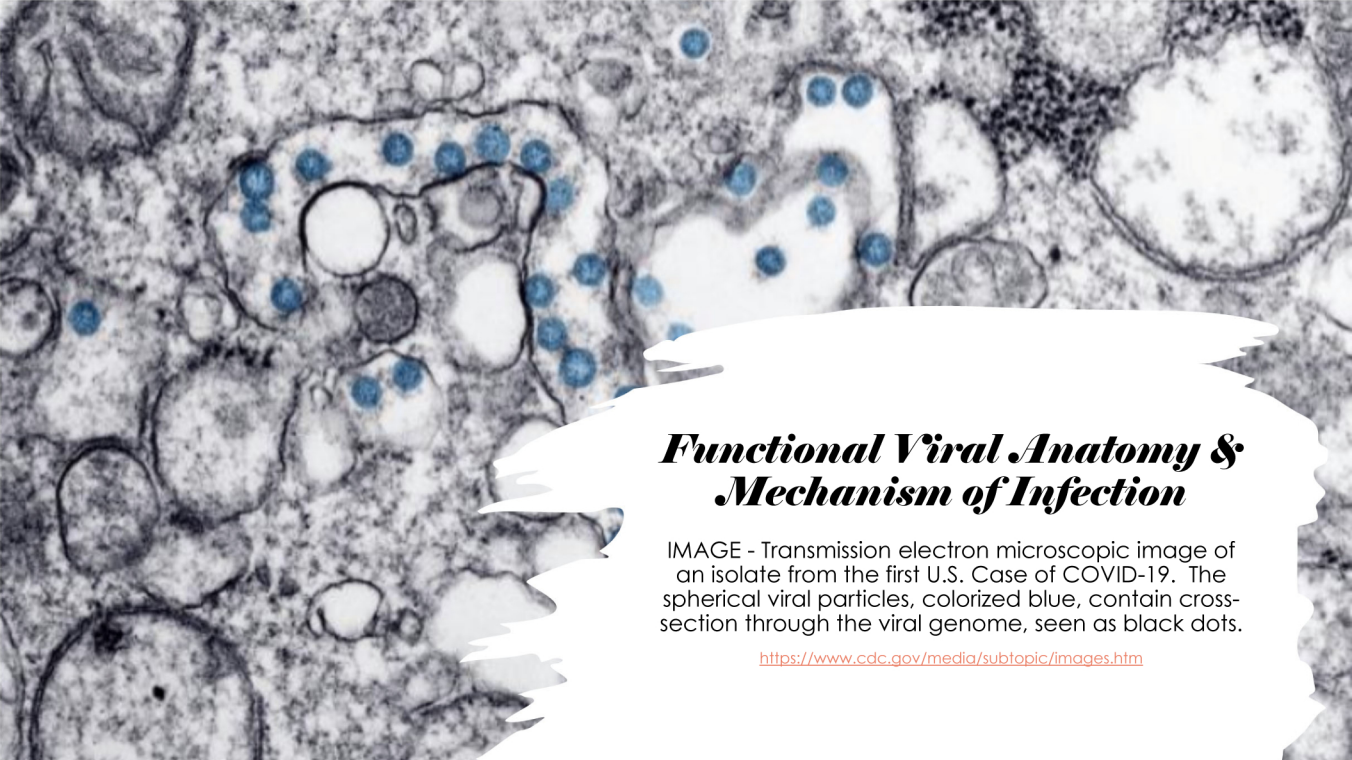
- "There is also strong evidence that it can be transmitted by people who are just mildly ill or even presymptomatic. That means COVID-19 will be much harder to contain than the Middle East respiratory syndrome or severe acute respiratory syndrome (SARS), which were spread much less efficiently and only by symptomatic people,"
 - [Bill Gates, NEJM, 2.28.2020](#)
- "Asymptomatic and mildly symptomatic transmission are a major factor in transmission for Covid-19," said Dr. William Schaffner, a professor at Vanderbilt University School of Medicine and longtime adviser to the CDC. "They're going to be the drivers of spread in the community."
 - [CNN, 3.14.2020](#)

Former CDC director: There's a long war ahead and our Covid-19 response must adapt

Dr. Tom Frieden

Updated 9:33 AM ET, Sat March 21, 2020

- The virus is much more infectious than influenza or the SARS virus, which it closely resembles. This week, new data showed that SARS-CoV-2, the virus that causes Covid-19, can live on contaminated surfaces as the SARS virus can, so it may spread, sometimes explosively, from doorknobs, elevator buttons and contaminated surfaces in hospitals and elsewhere. But we also learned that, unlike SARS, patients become highly infectious before they become seriously ill, explaining at least in part why Covid-19 acts like a super-SARS, far more infectious than its vanquished cousin.
- It's not just older people with underlying conditions who become very ill and can die. Younger adults, previously healthy people and some children develop viral pneumonia. Although prior reports suggested that 80% of people got only mild disease, it now appears that about half of these people, despite not needing hospital admission, have moderately severe pneumonia, which can take weeks or longer to recover from.



Functional Viral Anatomy & Mechanism of Infection

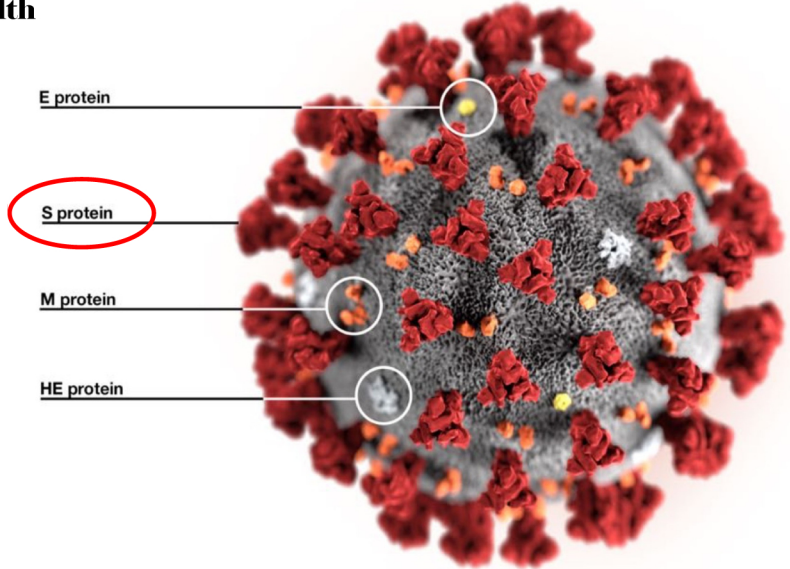
IMAGE - Transmission electron microscopic image of an isolate from the first U.S. Case of COVID-19. The spherical viral particles, colored blue, contain cross-section through the viral genome, seen as black dots.

<https://www.cdc.gov/media/subtopic/images.htm>

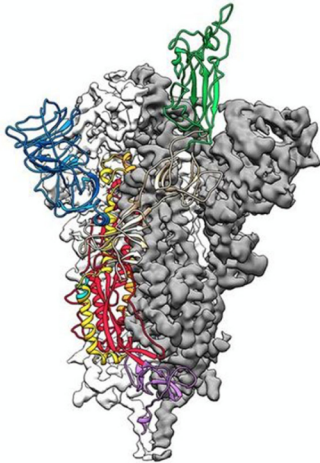
Anatomy of SARS-Cov-2, “COVID-19”

National Institutes of Health

Spike = surface glycoprotein



**Wrapp D, Wang N, Corbett KS, Goldsmith JA, Hsieh L, Abiona O, Graham BS, McLellan JS, Cryo-EM structure of the 2019-nCoV spike in the prefusion conformation. Science 2020 Feb 19.
doi: 10.1126/science.abb2507**

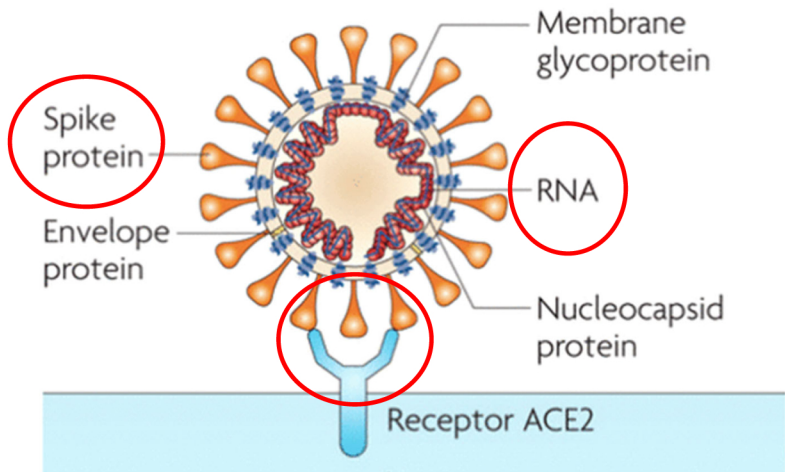


Viral membrane

- Spike protein
 - Green = receptor binding domain

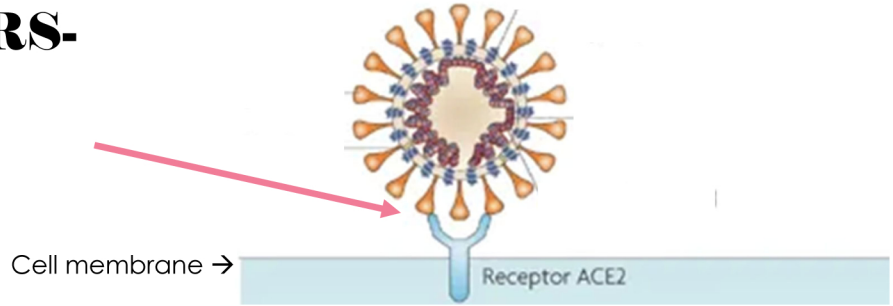
- Supplementary Materials;
<https://science.sciencemag.org/cgi/content/full/science.abb2507/DC1>

Anatomy of SARS-Cov-2, “COVID-19”



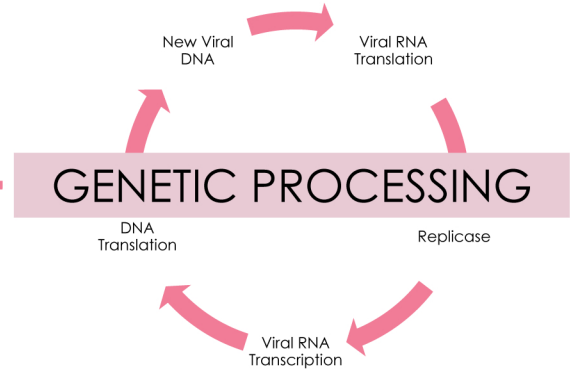
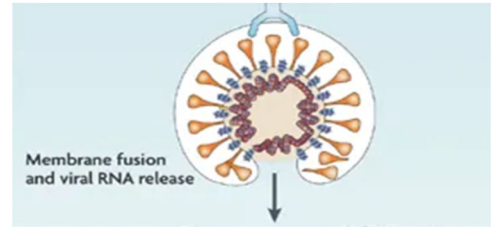
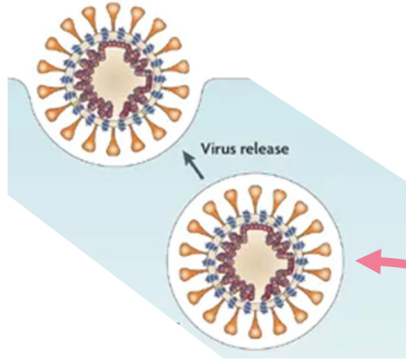
Research and Development on Therapeutic Agents and Vaccines for COVID-19 and Related Human Coronavirus Diseases; Cynthia Liu, Qiongqiong Zhou, Yingzhu Li, Linda V. Garner, Steve P. Watkins, Linda J. Carter, Jeffrey Smoot, Anne C. Gregg, Angela D. Daniels, Susan Jervey, and Dana Albaiu. ACS Central Science **Article ASAP**. DOI: 10.1021/acscentsci.0c00272

Mechanism of Infection – SARS- CoV



Du, L., He, Y., Zhou, Y. *et al.* The spike protein of SARS-CoV — a target for vaccine and therapeutic development. *Nat Rev Microbiol* **7**, 226–236 (2009).
<https://doi.org/10.1038/nrmicro2090>

Viral Replication

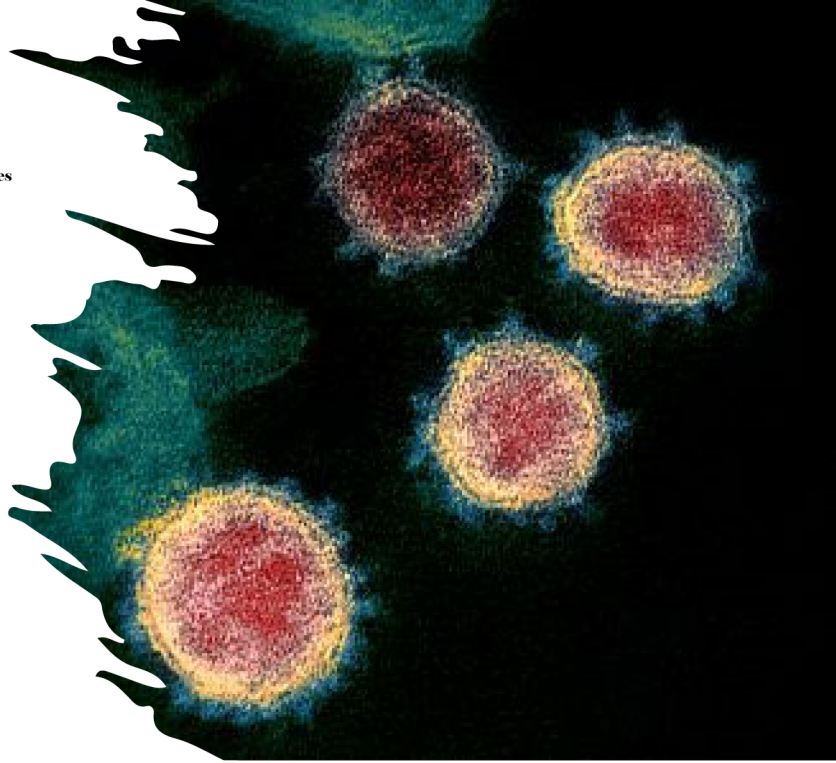


Du, L., He, Y., Zhou, Y. *et al.* The spike protein of SARS-CoV — a target for vaccine and therapeutic development. *Nat Rev Microbiol* **7**, 226–236 (2009). <https://doi.org/10.1038/nrmicro2090>

Mechanism of Infection

Novel coronavirus structure reveals targets for vaccines and treatments
National Institutes of Health
March 3, 2020

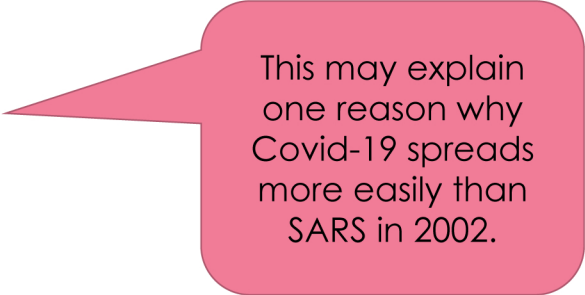
- Surface proteins (spikes or glycoproteins) protrude from surface
- Spikes latch onto human cells and then undergo a structural change that allows the viral membrane to fuse with the cell membrane
- Spikes bind to receptors on human cell surface called angiotensin-converting enzyme 2.



Novel coronavirus structure reveals targets for vaccines and treatments

National Institutes of Health, March 3, 2020

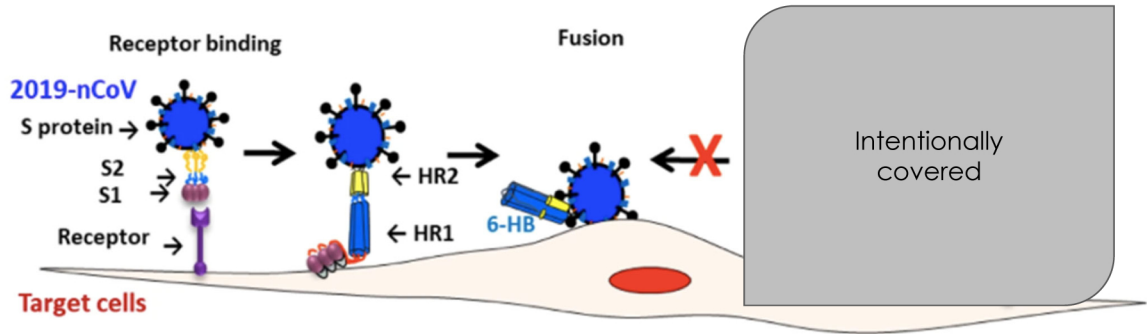
- SARS-CoV-2 spike (S-glycoprotein) is 10-20x more likely to bind ACE2 on human cells than the spike from the 2002 SARS virus.



This may explain one reason why Covid-19 spreads more easily than SARS in 2002.

- Reference:
 - **2002 – 2004: Severe Acute Respiratory Syndrome (SARS)**
 - 2012: Middle East Respiratory Syndrome (MERS)

Fusion mechanism of 2019-nCoV and fusion inhibitors targeting HR1 domain in spike protein



The putative antiviral mechanism of 2019-nCoV-HR2P and EK1. After binding of RBD in S1 subunit of 2019-nCoV S protein to the potential receptor ACE2 on the host cell, S2 subunit changes conformation by inserting **Fusion Peptide** into the cell membranes and triggering the association between the HR1 and HR2 domains to form 6-HB, which brings the viral and cellular membranes in close proximity for fusion

Xia, S., Zhu, Y., Liu, M. *et al.* Fusion mechanism of 2019-nCoV and fusion inhibitors targeting HR1 domain in spike protein. *Cell Mol Immunol* (2020 Feb 11). <https://doi.org/10.1038/s41423-020-0374-2>

Volume 1, Issue 1, March 2016

BIOENGINEERING & TRANSLATIONAL MEDICINE

Open Access

An official publication of the American Institute of Chemical Engineers

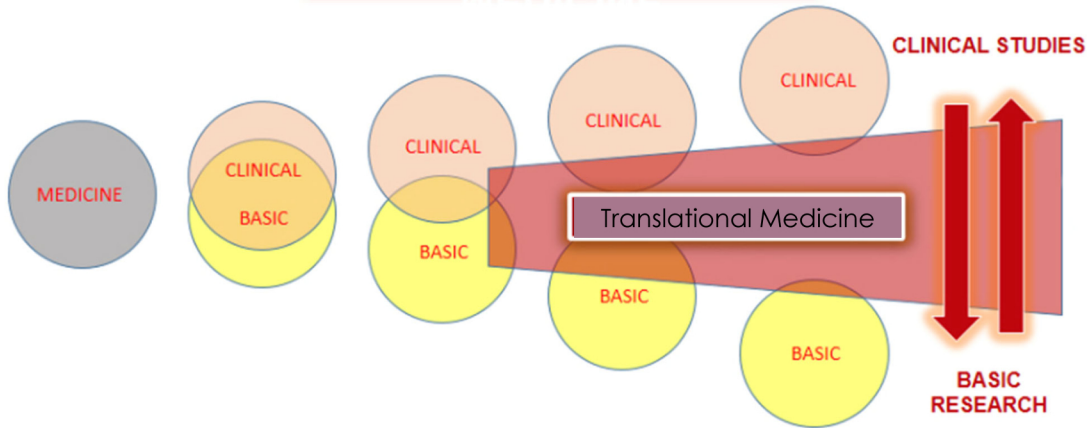


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WILEY

*An introduction
to
Translational
Medicine*

TRANSLATIONAL MEDICINE



1955

1970

1985

2000

2015

Translational Medicine



Translational medicine is collaborative bench-to-bedside-to-bench research that aims to further understand disease biology and identify the patient populations most likely to derive benefit from therapy.



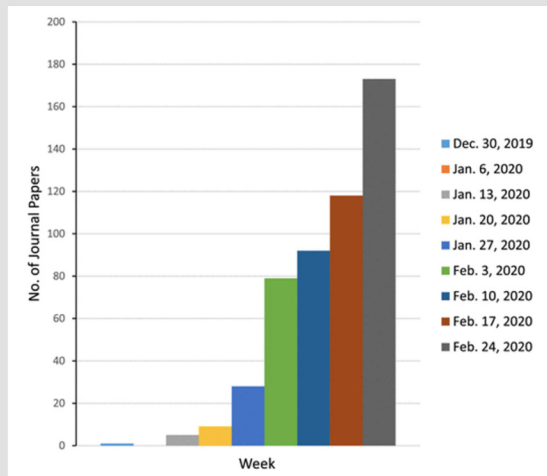
Successful translational medicine requires nimble collaboration and a fluid exchange of information across discovery, clinical development and commercialization.



In translational medicine, experimentation and exploratory research is fundamental to form hypotheses that can be tested in the clinic. This research aims to dissect the human pathophysiology of disease to generate insights that inform our clinical approach.

Number of journal articles related to COVID-19 published each week.

• Research and Development on Therapeutic Agents and Vaccines for COVID-19 and Related Human Coronavirus Diseases; Cynthia Liu, Qiongqiong Zhou, Yingzhu Li, Linda V. Garner, Steve P. Watkins, Linda J. Carter, Jeffrey Smoot, Anne C. Gregg, Angela D. Daniels, Susan Jervey, and Dana Albaiu. *ACS Central Science* **Article ASAP**. DOI: 10.1021/acscentsci.0c00272

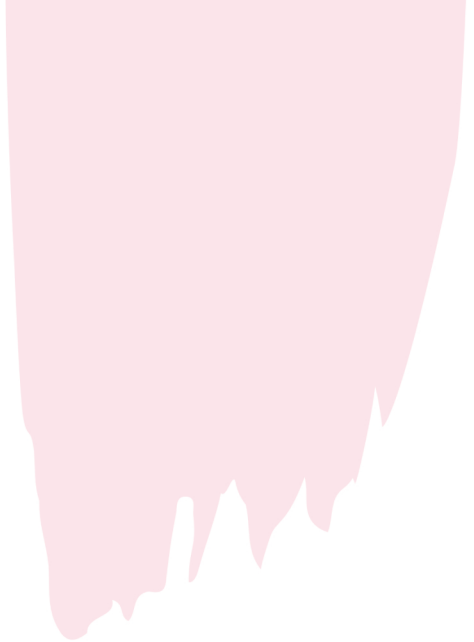


Real World Data: Promise & Pitfalls

- Real-world data (RWD) are health-related information reported and collected in real-world medical settings.
- RWD analyses generate insights about a medicine's effectiveness, safety and associated costs
- Robust RWD analyses typically use advanced statistical methods designed to address confounding factors. **Failure to use appropriate methods can lead to RWD studies that generate incorrect or unreliable conclusions.**

HUMIC ACID

HISTORY & MEDICAL APPLICATIONS



Humic Acids

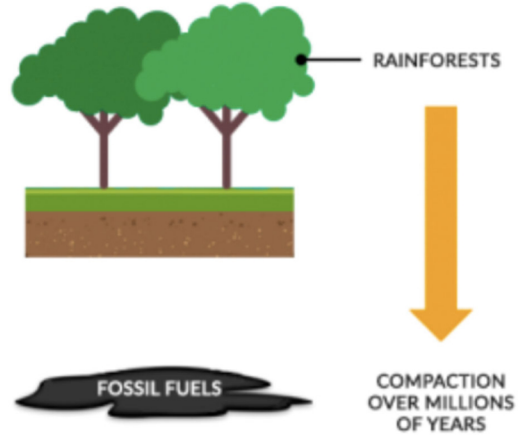
- 1761: Walerius named soil deposits of composed organic matter - **humin**.
- 1786: First study published on humic acid
- Applications
 - Industrial – oil & gas industry
 - Agriculture - fertilizer
- Robust history in Ayurvedic Medicine

Origin of humic acid

- Deposits of decomposed plant materials that originated 280 million years ago, in the **Paleozoic coal age**
- Water, micro-organisms (e.g. bacteria) and time fuel changes in soil chemistry that drive the formation of deposits



Carboniferous Rainforest Collapse



**When sourced
and processed,
humic acid inhibits viral
fusion**

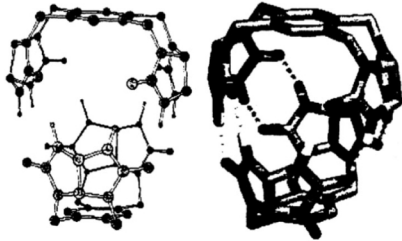
Sourcing is very important



Humic Acid – a flexible polyfunctional molecular system

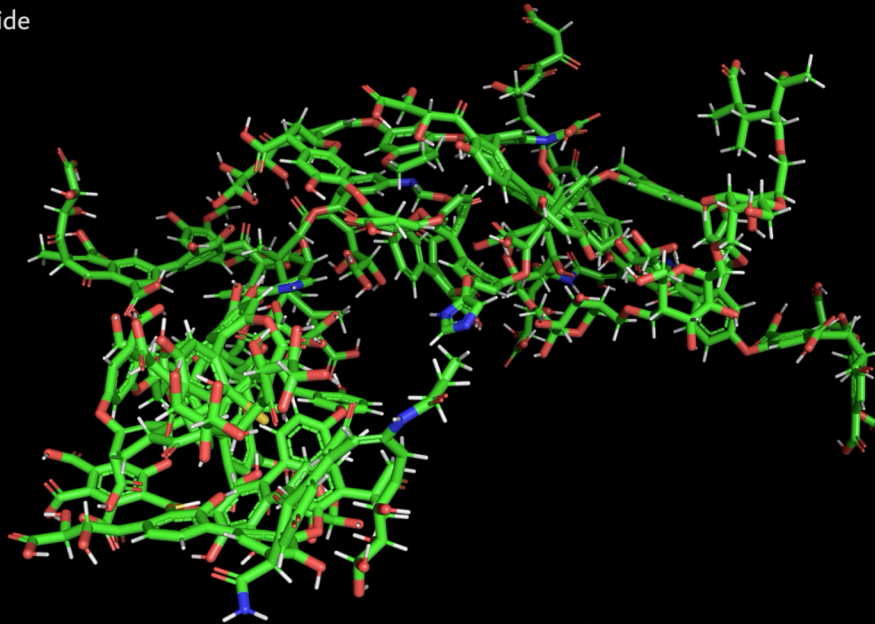
Bruccoleri AG, Sorenson BT, Langford CH.
Molecular Modeling of Humic Structures.
Department of Chemistry, University of
Calgary

Orsi, M. **Molecular dynamics simulation of humic substances.** *Chem. Biol. Technol. Agric.* **1**, 10 (2014).
<https://doi.org/10.1186/s40538-014-0010-4>



- Existing models
 - fail to account for the different energy states of a molecule. This promotes a false impression of reality.
 - Fail to consider molecular interaction with water

Humic Acid – 3D model, no peptide



Product Efficacy Overview - Humic Acid, *in vitro* study

Anti-Viral Activity

HIV-1, HIV-2, HSV-1, HSV-2, CMV, EBV, Influenza, Hemorrhagic Fevers

- University of Southern California
- Southern Research Institute
- Specialty Laboratory
- National Institute of Health



Product Efficacy Overview - Humic Acid, *in vitro* study

Anti-Viral Activity – **HIV-1**

- University of Southern California
 - Complete inhibition of viral replication
 - Dose: 25 mcg/mL
 - Comparable AZT dose: 50 mcg/mL, with cytothasis
- Southern Research Institute
 - Prophylactic activity
 - Prevents HIV-1 infection of multiple cell lines
 - Therapeutic in chronically infected cells
 - Inhibits HIV-1 viral attachment
 - IC50 = 0.01 – 0.88 mcg/mL in HeLa CD4 LTR B-gal cells

Product Efficacy Overview - Humic Acid, *in vitro* study

Anti-Viral Activity – HSV 1 & 2

- Specialty Laboratory
 - (+) Prophylactic against infection
 - Prior to cell contact with virus
 - When applied immediately at time of contact
 - (+) Therapeutic after viral infection

Product Efficacy Overview - Humic Acid, *in vitro* study

Anti-Viral Activity

Herpes, CMV, VZV, EBV, Influenza, Hemorrhagic Fevers

- National Institute of Health, Bethesda, Maryland
 - Non-toxic
 - Anti-viral activity greatest against influenza & hemorrhagic fever strains
 - Mechanism of action – **inhibition of viral fusion**

Broad Spectrum Antiviral Effectiveness of Natural and Synthetic Humates
Virology Branch, Antiviral Research & Antimicrobial Chemistry Program, Division of Microbiology & Infectious Diseases
Screening & Testing Program for Antiviral, Immunomodulatory, Anti-tumor and/or Drug Delivery Activities, National Institutes of Allergy & Infectious Diseases, National Institute of Health (NIH), August 9, 2002

- Endpoints:
 - **TC50** – toxic concentration of drug that results in 50% cell toxicity
 - **CP50** – concentration of drug that results in 50% decrease in cell proliferation
 - **IC50** – inhibitory concentration of drug that prevents infection in 50% of cells treated
 - **IC90** – inhibitory concentration of drug that prevents infection in 90% of cells treated

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Table III. Effective Inhibitory Concentrations at 50% (IC₅₀) and 90% (IC₉₀) of Humate Materials and Acyclovir (ACV) Reference Compound with Herpes Simplex Virus Type 1 (HSV-1) (HFF Cells)

Humate	IC ₅₀ , µg/mL	IC ₉₀ , µg/mL
CA	6	17.3
CGA	15.1	–
HA	4.7	13.1
HGA	16.9	51.6
Acyclovir	1.2–1.6	7.9

Table IV. Effective Inhibitory Concentrations at 50% (IC₅₀) and 90% (IC₉₀) of Humate Materials and Acyclovir (ACV) Reference Compound with Herpes Simplex Virus Type 2 (HSV-2) (HFF Cells)

Humate	IC ₅₀ , µg/mL	IC ₉₀ , µg/mL
CA	6.2	–
CGA	4.4	–
HA	2.5	6.7
HGA	2.1	19.7
Acyclovir	1.1–1.3	9.5

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Table VI. Effective Inhibitory Concentrations at 50% (IC₅₀) and 90% (IC₉₀) of Humate Materials and Acyclovir (ACV) Reference Compound with Varicella Zoster Virus (VZV) (HFF Cells)

Humate	IC ₅₀ , µg/mL	IC ₉₀ , µg/mL
CA	>100	>100
CGA	>100	>100
HA	53.5	85.8
HGA	24	47.2
Acyclovir	0.23–0.38	16.3

Table VII. Effective Inhibitory Concentrations at 50% (IC₅₀) and 90% (IC₉₀) of Humate Materials and Acyclovir (ACV) Reference Compound with Epstein-Barr Virus (EBV) (Daudi Cells)

Humate	IC ₅₀ , µg/mL	IC ₉₀ , µg/mL
CA	>0.4	>0.4
CGA	21.1	33
HA	>50	>50
HGA	16.8	49
Acyclovir	1.8–2.4	16.3

Broad Spectrum Antiviral Effectiveness of Natural and Synthetic Humates
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Table VIII. Effective Inhibitory Concentrations at 50% (IC₅₀) and 90% (IC₉₀) of Humate Materials and Ribavirin Reference Compound with Influenza Virus Type A (New Caledonia/20/99) (H1N1) (MDCK Cells)

Humate	IC ₅₀ , µg/mL			IC ₉₀ , µg/mL
	CPE Method	NR Method	VY Method	
CA	1	0.6	3.2	4
CGA	45	40	-	-
HA	2.5	2.5	3.2	5
HGA	3.7	3.2	-	-
Ribavirin	0.55	0.38	0.32	1.4

Table X. Effective Inhibitory Concentrations at 50% (IC₅₀) of Humate Materials and Ribavirin Reference Compound with Influenza Virus Type A (NWS/33) (H1N1) (MDCK Cells)

Humate	IC ₅₀ , µg/mL		
	CPE Method	NR Method	VY Method
CA	0.65-1	0.55-0.85	-
CGA	-	-	-
HA	1.3	1.3	-
HGA	18	17	-
Ribavirin	5-6.0	4.6-6.5	-

Table IX. Effective Inhibitory Concentrations at 50% (IC₅₀) and 90% (IC₉₀) of Humate Materials and Ribavirin Reference Compound with Influenza Virus Type A (Panama/2007/99) (H3N2) (MDCK Cells)

Humate	IC ₅₀ , µg/mL			IC ₉₀ , µg/mL
	CPE Method	NR Method	VY Method	
CA	<1	<1	0.4	0.5
CGA	6	6.5	-	-
HA	<1	<1	0.22	0.4
HGA	4.5	3.2	-	-
Ribavirin	1.3	1.8	1.9	1.4

Table XI. Effective Inhibitory Concentrations at 50% (IC₅₀) of Humate Materials and Ribavirin Reference Compound with Influenza Virus Type A (PR/8/34) (H1N1) (MDCK Cells)

Humate	IC ₅₀ , µg/mL		
	CPE Method	NR Method	VY Method
CA	8.5	10	-
CGA	-	-	-
HA	14	18	-
HGA	18	18	-
Ribavirin	9	12	-

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Table XIX. Effect of Intraperitoneal Treatment of Synthetic Humate CA^a on Influenza Virus Type A (Shangdong/09/93) (H3N2) Infection in Mice^b

Treatment ^c	Dosage, mg/kg/day	Toxicity Controls		Infected Treated Mice		
		Survivors/ Total	Mean Host Weight Change ^d , g	Survivors/ Total	Mean Day to Death ^e ± SD	Mean Day 11 SaO ₂ , % ± SD
Humate CA	50	3/3	-0.8	0/10	6.5 ± 4.2	76.4 ± 4.6
	25	3/3	-0.7	3/10 ^f	10.3 ± 4.8	79.8 ± 4.6
	12.5	3/3	0.2	4/10 ^g	10.2 ± 2.8	81.7 ± 4.9 ^f
Ribavirin	75	3/3	0.1	10/10 ^h	>21.0 ± 0.0 ^h	88.2 ± 1.6 ^h
Saline	-	-	-	0/20	9.2 ± 3.5	76.9 ± 3.8
Normal Controls	-	3/3	0.6	5/5	>21.0 ± 0.0	89.4 ± 2.2

^a Diluent: sterile saline. ^b Female BALB/c mice, 18-21 g. ^c Treatment schedule: bid x 5 beg; 4-h pre-virus exposure. Experiment duration: 21 days. ^d Difference between initial weight and weight 18 hours after final treatment. ^e Mean day to death of mice dying prior to day 21. ^f P<0.05; ^g P<0.01; ^h P<0.001, compared to saline-treated controls.

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Results

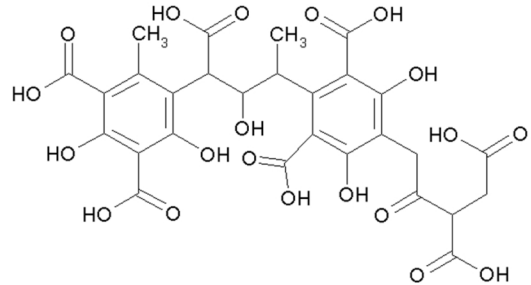
All humates evaluated were not cytotoxic at levels at least as high as 100 µg/mL, as shown below in Table I. Visual observation of synthetic humate CA and natural-product humate HA with uninfected MDCK cells in toxicity control wells appeared initially to indicate drug toxicity. However, the drugs were not in fact toxic as revealed by Neutral Red assays. Rather, the humate materials were found to bind to cell surfaces, thereby changing their color and giving them an exanimate appearance. This discoloration was observed in a concentration-dependent manner at levels where antiviral activity was present.

Table I. Toxic Concentrations at 50% (TC₅₀) of Humate Materials with Indicated Cell Lines

Humate	TC ₅₀ , µg/mL					
	BSC-1 ^a	HFF ^b	MDCK ^c	LLC-MK ₂ ^d		
				Trial 1	Trial 2a ^f	Trial 2b ^e
CA	>100	>100	>100	>100	>1000	>1000
CGA	>100	>100	— ^g	— ^g	>1000	>1000
HA	>100	>100	>100	>100	>1000	>1000
HGA	>100	>100	— ^g	— ^g	700	>1000

^aAfrican green monkey kidney cells. ^bHuman foreskin fibroblast cells. ^cMadin Darby canine kidney cells. ^dAdult rhesus monkey kidney cells. ^eNot evaluated. ^fNeutral Red assay. ^gVisual assay.

Amar S, Escovar Y., A Randomized, Double-Blind, Placebo-Controlled, Parallel-Group Pilot Study to Investigate the Effects of Humic Acid on Symptoms of Influenza, 2 Sept 2018



Humic Acid

Characteristics

Anti-inflammatory

Anti-viral

- Rhinovirus (Sydow *et al.*, 1986)
- HIV (Laub, 1995 & 2000)
- HSV Types 1 & 2 (Helbig, *et al.*, 1987, Kloeckring, 1991)
- Influenza Types A & B (Laub, 2000, Sydow *et al.*, 1986)

Anti-bacterial

Safe

- Up to 50mg/kg (Schiller *et al.*, 1979)

Humic Acid Mechanism of Action

Primary

- **Inhibits viral fusion**
 - Prevents the attachment of viral particles to host cells (Laub, 1999)
 - De facto inhibition of viral replication

Secondary

- **Inhibits viral RNA synthesis**
 - Inhibits endonuclease activity of viral RNA polymerase (2)

Pharmacodynamics

- Humic acid's affinity for viral antigens is greater than viral affinity for cell surface receptors.
- Humic acid can reverse viral binding.

Humic Acid & Influenza Study Design

Participants

- Goal: 40
- Randomized: 37
- Completed: 33

Dose

- 500 mg or placebo
- 3x day
- 14 days

Touchpoints

- Baseline
- Day 7
- Day 14

Assessments

- Subjective
- Performance Metric - VAS
- Vitals
- Biomarkers

Amar S, Escovar Y., **A Randomized, Double-Blind, Placebo-Controlled, Parallel-Group Pilot Study to Investigate the Effects of Humic Acid on Symptoms of Influenza**, 2 Sept 2018

Humic Acid & Influenza

Symptom Endpoints



Fever & chills



Myalgias &
arthralgias



Headache



Fatigue



Nasal congestion
& rhinorrhea



Earache



Cough

Amar S, Escovar Y., **A Randomized, Double-Blind, Placebo-Controlled, Parallel-Group Pilot Study to Investigate the Effects of Humic Acid on Symptoms of Influenza**, 2 Sept 2018

Humic Acid & Influenza

Biomarkers of Interest



Complete Blood Count

WBC, differential



T-lymphocytes

CD4+, CD8+



Inflammatory Markers

TNF- α & IL-8



Serum chemistry and liver enzymes

Amar S, Escovar Y., **A Randomized, Double-Blind, Placebo-Controlled, Parallel-Group Pilot Study to Investigate the Effects of Humic Acid on Symptoms of Influenza**, 2 Sept 2018

Humic Acid & Influenza

Subject Parameters



Permissions

Anti-pyretics
Expectorants
Throat lozenges



Exclusions

No acetaminophen, aspirin, ibuprofen
and other NSAIDS **4 hours before**
temperature reading

Amar S, Escovar Y., **A Randomized, Double-Blind, Placebo-Controlled, Parallel-Group Pilot Study to Investigate the Effects of Humic Acid on Symptoms of Influenza**, 2 Sept 2018

Results – Symptom Scores

Symptom Scores

- Greater reduction in HA group than control
- Not statistically significant
- HA cohort - *greater symptom severity at baseline, (+) smokers*

Percent Improvement

Symptom	HA Group	Placebo
Cough	61.9	36.8
Fever	91.7	81.8
Myalgia/arthralgia	86.4	62.5
Chills	91.7	66.7
Fatigue	80.0	54.5
Rhinorrhea	66.7	62.5

Humic Acid & Influenza

Results - Visual Analog Scale (VAS)

- **Statistically significant** increase in VAS scores in both test groups, with *greater increases found from baseline to Week 2 in HA Group compared to Placebo*
- HA Group - greater severity of symptoms at baseline, with lower VAS scores

VAS Improvement
 $p < 0.001$ – all subgroups

	HA Group <i>n = 19</i>	Placebo <i>n = 18</i>
Week 1	64%	54%
Week 2	107%	76%
Progress Week 1 to 2	+43%	+22%
40-60 y/o	164%	70.3%

Amar S, Escovar Y., **A Randomized, Double-Blind, Placebo-Controlled, Parallel-Group Pilot Study to Investigate the Effects of Humic Acid on Symptoms of Influenza**, 2 Sept 2018

Humic Acid & Influenza

Results: TNF- α and IL-8

TNF- α

p = 0.027

	HA Group	Placebo
Week 2	-26.7%	-7.1%

- Observation: TNF levels were lower across the study in the HA Group

IL-8

	HA Group	Placebo
Week 2	-3.2%	-10.2%

- Recall: IL-8 stimulates activation of T-cells & monocytes.

Humic Acid & Influenza

Results: CD4+ and CD8+

CD4+

	HA Group	Placebo
Week 2	+2.8%	-3.1%

CD8+

	HA Group	Placebo
Week 2	+1.4%	-1.1%

- Observation: Net change between HA Group and placebo of ~6% suggests that HA may have some role in modulating the immune response.

Humic Acid & Influenza

Results – Adverse Events

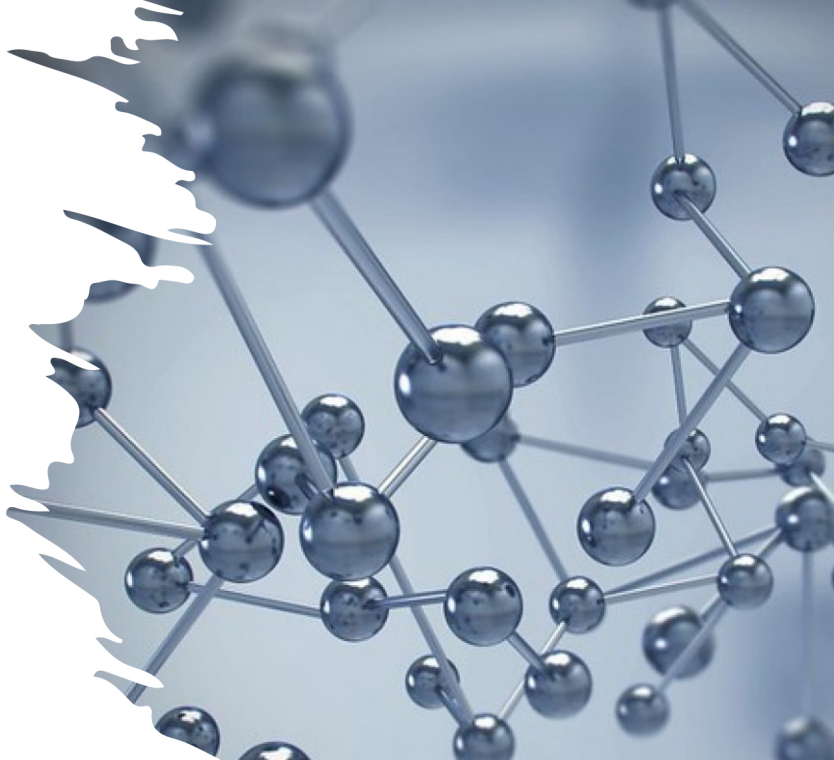
- Adverse events
 - Check numbers
- Elevated AST/ALT
 - 2 HA Group
 - 1 Placebo
 - Causation - Unknown

	HA Group	Placebo
Diarrhea	3	2
Dizziness	1	0
Nausea	1	0
Vomiting	1	0
Stomach ache / discomfort	2	0

Amar S, Escovar Y., **A Randomized, Double-Blind, Placebo-Controlled, Parallel-Group Pilot Study to Investigate the Effects of Humic Acid on Symptoms of Influenza**, 2 Sept 2018

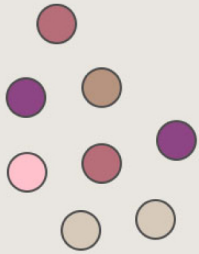
PEPTIDES

Clinical applications
&
Product development

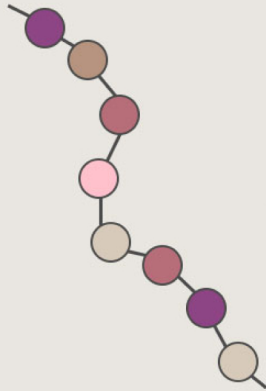


What is a peptide?

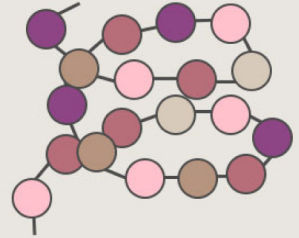
- Two or more amino acids linked together
- Typically between 2 and 50 amino acids
- Protein > 50 amino acids



Amino Acids



Peptide

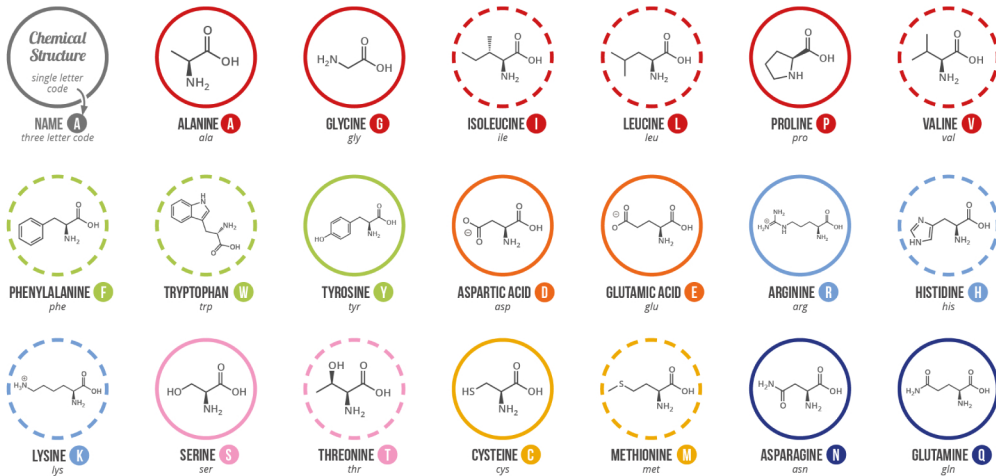


Protein

A GUIDE TO THE TWENTY COMMON AMINO ACIDS

AMINO ACIDS ARE THE BUILDING BLOCKS OF PROTEINS IN LIVING ORGANISMS. THERE ARE OVER 500 AMINO ACIDS FOUND IN NATURE - HOWEVER, THE HUMAN GENETIC CODE ONLY DIRECTLY ENCODES 20. 'ESSENTIAL' AMINO ACIDS MUST BE OBTAINED FROM THE DIET, WHILST NON-ESSENTIAL AMINO ACIDS CAN BE SYNTHESISED IN THE BODY.

Chart Key: ● ALIPHATIC ● AROMATIC ● ACIDIC ● BASIC ● HYDROXYLIC ● SULFUR-CONTAINING ● AMIDIC ○ NON-ESSENTIAL ○ ESSENTIAL



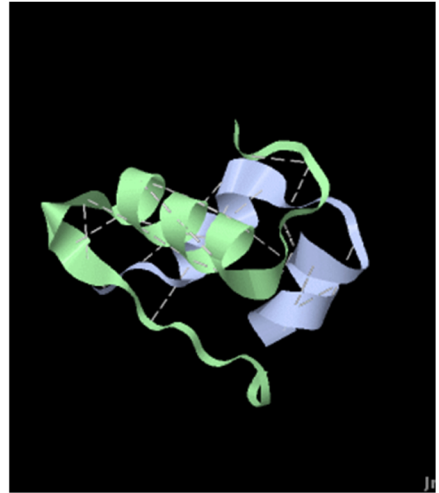
Note: This chart only shows those amino acids for which the human genetic code directly codes for. Selenocysteine is often referred to as the 21st amino acid, but is encoded in a special manner. In some cases, distinguishing between asparagine/aspartic acid and glutamine/glutamic acid is difficult. In these cases, the codes asx (B) and glx (Z) are respectively used.

The background features a light pink color with several darker pink, irregular brushstroke-like shapes that sweep across the frame from the top and left towards the bottom right. The text is centered within the pink area.

***Peptides move
biology***

INSULIN

- Insulin is a small protein consisting of **two peptide chains**. The A chain consists of 21 amino acid residues, and the B chain — of 30 amino acids.
- The chains are connected by two disulfide bonds.

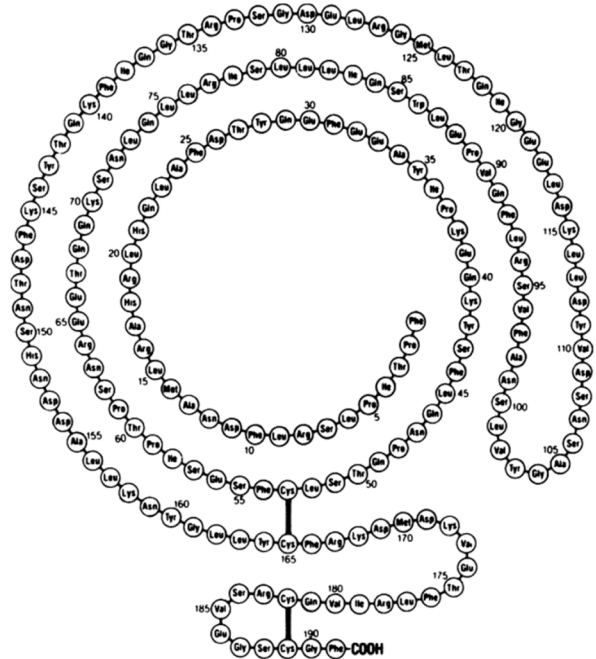


Growth Hormone

191 amino acid sequence



<http://www.rcsb.org/3d-view/1HGU>



Peptides – Other Examples



Neurotrophs – Cerebrolysin & Dihexa



Immune Support – Thymosins



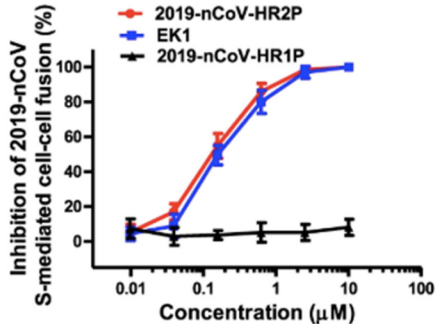
Skin Rejuvenation – cosmeceutical products



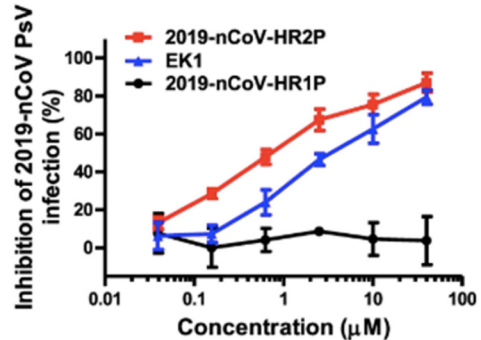
Bioregulators – Epitalon

Proof of Concept - Xia, S., Zhu, Y., Liu, M. et al. Fusion mechanism of 2019-nCoV and fusion inhibitors targeting HR1 domain in spike protein. Cell Mol Immunol (2020 Feb 11). <https://doi.org/10.1038/s41423-020-0374-2>

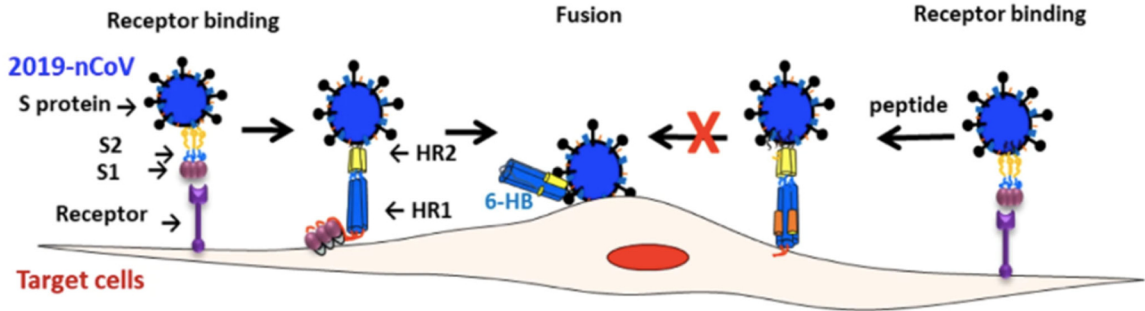
Inhibitory activity of peptides on 2019-nCoV S-mediated cell-cell fusion.



Inhibition of peptides on pseudotyped 2019-nCoV infection.



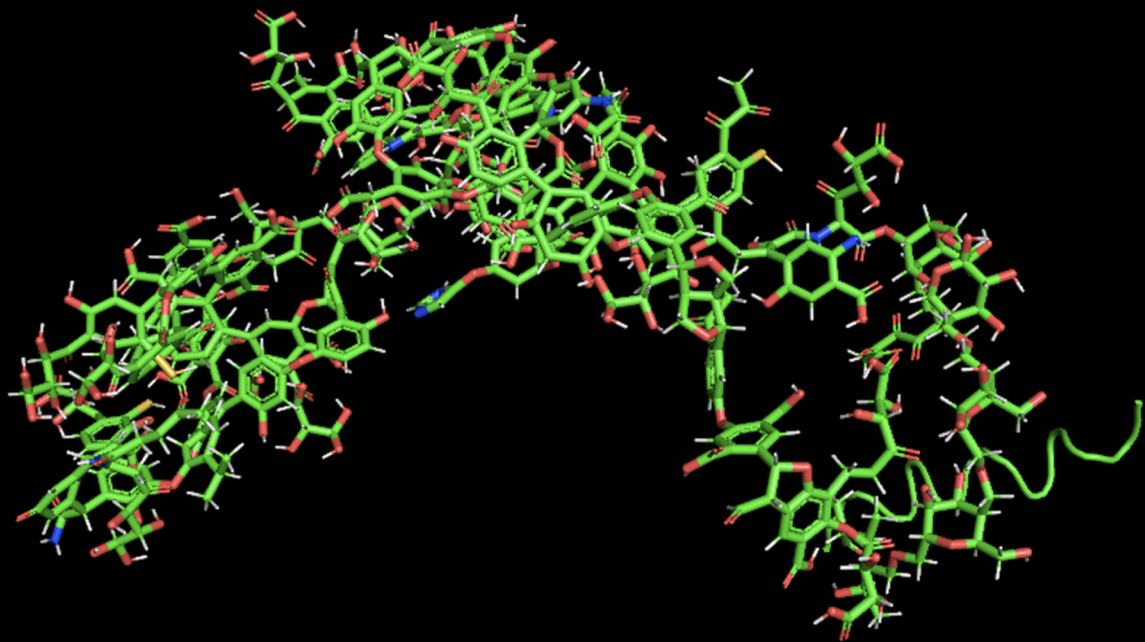
REVIEW: Fusion mechanism of 2019-nCoV and fusion inhibitors targeting HR1 domain in spike protein



The putative antiviral mechanism of 2019-nCoV-HR2P and EK1. After binding of RBD in S1 subunit of 2019-nCoV S protein to the potential receptor ACE2 on the host cell, S2 subunit changes conformation by inserting **Fusion Peptide** into the cell membranes and triggering the association between the HR1 and HR2 domains to form 6-HB, which brings the viral and cellular membranes in close proximity for fusion (left part of **k**). In the presence of 2019-nCoV-HR2P or EK1 peptide, three copies of the peptide bind to the 2019-nCoV S-HR1-trimer to form heterologous 6-HB, thus blocking the formation of viral homologous 6-HB and thus **inhibiting viral and cell membrane fusion** (right part of image)

Xia, S., Zhu, Y., Liu, M. et al. Fusion mechanism of 2019-nCoV and fusion inhibitors targeting HR1 domain in spike protein. *Cell Mol Immunol* (2020 Feb 11). <https://doi.org/10.1038/s41423-020-0374-2>

Humic Acid + peptide chain



Fusion

Humic acid with peptide

- 14 amino acid sequence
- Binds to spike protein (S-glycoprotein)
- Anchors humic acid to virus
- Clinical trial, 10 patients
- **Patient outcome data better than humic acid alone**



***In vitro* evaluation of the anti-viral properties of humic acid and polypeptide and its investigation of mechanism of action. Internal Study**

- Results

- **Viricidal**

- HSV-1 – 100% by day 3
 - HSV-2 – 60% by day 3

- **Viral Attachment Inhibition**

- CMV – 100% by day 3
 - RSV-2 -100% by day 3

- Method

- Antiviral activity – plaque assay & virus yield assay

A Randomized, Double-Blind, Placebo-Controlled, Parallel-Group Pilot Study to Investigate the Effects of Humic Acid + Peptide on Symptoms of Influenza, Internal document

Protocol mirrors from HA control study, 2018

Participants: 20

Treatment group: 10

Placebo group: 10

Drop-outs: 0

Humic Acid + Peptide - Influenza Results

Results - Symptom Scores

PERCENT IMPROVEMENT

Symptom	HA Group <i>n</i> = 19	Placebo <i>n</i> = 18	HA + Peptide <i>n</i> = 10	Placebo <i>n</i> = 10
Cough	61.9	36.8	79.2	32.8
Fever	91.7	81.8	93.4	79.2
Myalgia/arthralgia	86.4	62.5	90.5	60.7
Chills	91.7	66.7	92.6	59.5
Fatigue	80.0	54.5	83	51
Rhinorrhea	66.7	62.5	68.9	59.5

Humic Acid + Peptide & Influenza

Results: % change, TNF- α and IL-8

TNF- α

	HA + Peptide <i>n</i> = 10	Placebo <i>n</i> = 10
Week 2	-47.1%	-1.6%

IL-8

	HA + Peptide <i>n</i> = 10	Placebo <i>n</i> = 10
Week 2	-35%	8.3%

	HA Group <i>n</i> = 19	Placebo <i>n</i> = 18
Week 2	-26.7%	-7.1%

	HA Group <i>n</i> = 19	Placebo <i>n</i> = 18
Week 2	-3.2%	-10.2%

Humic Acid + Peptide & Influenza Results - Visual Analog Scale

- **Augmented improvement** in VAS scores noted in HA+Peptide group vs. HA alone.
- Recall: HA Group ONLY - greater severity of symptoms at baseline, with lower VAS scores

	HA + Peptide <i>n = 10</i>	Placebo <i>n = 10</i>
Week 1	82.7%	35.9%
Week 2	120.8%	65.5%
Progress Week 1 to 2	+38.1%	+29.6%
40-60 y/o	--- %	--- %

VAS Improvement; $p < 0.001$ – all subgroups

	HA Group <i>n = 19</i>	Placebo <i>n = 18</i>
Week 1	64%	54%
Week 2	107%	76%
Progress Week 1 to 2	+43%	+22%
40-60 y/o	164%	70.3%

Humic Acid + Peptide & Influenza

Results: % change, CD4+ and CD8+

CD4+

	HA + Peptide <i>n</i> = 10	Placebo <i>n</i> = 10
Week 2	73.9%	-3.1%

CD8+

	HA + Peptide <i>n</i> = 10	Placebo <i>n</i> = 10
Week 2	-5.1%	-0.6%

	HA Group <i>n</i> = 19	Placebo <i>n</i> = 18
Week 2	+2.8%	-3.1%

	HA Group <i>n</i> = 19	Placebo <i>n</i> = 18
Week 2	+1.4%	-1.1%

A light pink, brushstroke-style background shape with irregular, feathered edges, centered on a white background. It contains three lines of bold, italicized black text.

Mechanism of Action

Real World Data

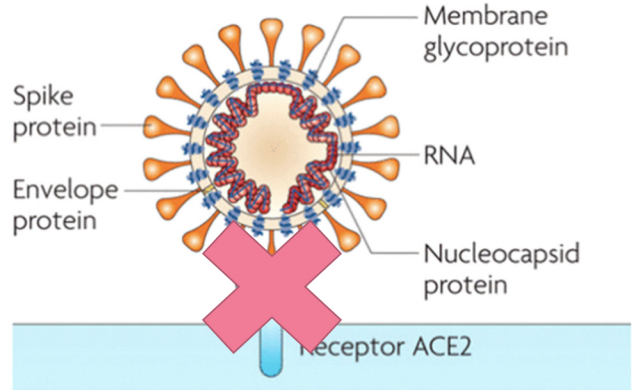
Ongoing Investigations

Humic Acid + Peptide Mechanism of Action

Humic acid **encapsulates** virus and prevents binding to cell membrane receptors.

Peptide **binds to spike protein** and locks humic acid to target virus.

Humic acid peptide combination is indifferent to antigenic shift.



COVID-19: consider cytokine storm syndromes and immunosuppression

The Lancet, Published: March 16, 2020.

DOI: [https://doi.org/10.1016/S0140-6736\(20\)30628-0](https://doi.org/10.1016/S0140-6736(20)30628-0)

- A cytokine profile resembling secondary hemophagocytic lymphohistiocytosis (sHLH) is associated with COVID-19 disease severity, characterised by increased interleukin (IL)-2, IL-7, granulocyte-colony stimulating factor, interferon- γ inducible protein 10, monocyte chemoattractant protein 1, macrophage inflammatory protein 1-a, and **tumour necrosis factor- α** .⁶
- Predictors of fatality from a recent retrospective, multicentre study of 150 confirmed COVID-19 cases in Wuhan, China, included elevated ferritin (mean 1297.6 ng/ml in non-survivors vs 614.0 ng/ml in survivors; $p < 0.001$) and IL-6 ($p < 0.0001$),² suggesting that mortality might be due to virally driven hyperinflammation.

Valin A., et al., Crosstalk Between IL-6 and TNF-Alpha Signaling Pathway in Rheumatoid Arthritis Synovial Fibroblasts. American College of Rheumatology 2016 Annual Meeting

- **Almost 55% (287 genes) of total IL-6/sIL-6R regulated genes are common to those of TNF- α .**
- In addition, priming experiments stimulating fibroblast-like synoviocytes (FLS) cells with either TNF- α or IL-6/sIL-6R, followed by induction with the counterpart factor at different time-points, demonstrated that the synergistic effect requires the constant presence of both factors. These data suggest that **the mechanism of crosstalk between TNF α and IL-6/sIL-6R more likely occurs through regulation of signaling and/or transcriptional mediators** rather than at a post-transcriptional level. Our study suggests that despite the differential IL-6 and TNF- α intracellular signaling, **there is significant overlap between the transcriptional response induced by both factors.**

Real World Data (RWD)

As of February 2020: 285,100 doses of product were dispensed in China, Hong Kong, Singapore and South Korea.



RWD – Singapore, 2.2020

Family of 6 hospitalized, ages 20 – 54

Test positive for Covid-19 and transported to hospital by ambulance. Assay – 24-hour processing window (PCR)

- Treatment: 2 tablets or capsules three times day for 3 days
- Discharge criteria – negative assay x2, separated by 24-hours
 - First assay, 24-hours after initial treatment – negative
 - Second assay, 48 hours after initial treatment – negative
- All family members, discharged, hospital day #3 without complications



RWD, Hong Kong, March/April 2020

Background: Center Manager 1 (CM1) was exposed to a client with known COVID-19 infection at Distributor's business location. CM1 was taking Product and did not get sick, but a co-worker who was known not to be taking Product (CM2) and co-mingled with CM1 and client did become symptomatic with fever, chills and emesis. She ultimately tested positive for COVID-19 and was hospitalized.



RWD, Hong Kong

(2 of 3)

Hospital course: CM2's presenting complaints in hospital included fever, chills and emesis. Additional clinical information is forthcoming as of 4.3.2020 at 4:08PST. CM2 declined to take Product in hospital because her PTP was treating with another medication and she was concerned about an unintended interaction; however, symptoms were deteriorating. Distributor obtained consent from CM2 to start Product on 4.2.2020 at treatment dose. Twenty-four hours after completing an initial treatment dose, fever was resolved. As of 4.4.2020, CM2 is asymptomatic. F/U assay for COVID-19 is pending.

Note: Hong Kong practice is to publish the names of those infected with COVID-19 in the public record. CM2 information was published on 4.2.2020.



RWD, Hong Kong

(3 of 3)

Employer Response: Distributor became aware of CM2's infection on 3.31.2020 and closed local operation for 4 days. At this juncture, all employees were instructed to take a treatment dose of Product, 3 tablets three times daily. Distributor is performing PCR tests on all employees prior to their return to work. All employees are also part of a nightly webinar where they can learn about COVID-19 and ask questions about Product in order to earn compliance with Product prophylactic dosing.



Product Instructions

- Prophylactic directions: Take 1 tablet/capsule twice daily
- Prophylactic directions: Take 1 tablet/capsule 3 times daily
 - Chronic Disease - diabetic, heart disease
 - Autoimmune disease – rheumatoid arthritis, IBD, lupus
 - Overfat
 - Genomic Predisposition (NLRP3, CCL2, IL-1A)
- Treatment, COVID-19(+): Take 2 tablets/capsules by mouth three times daily
- Treatment, COVID-19(+) with morbidity: Take 3 tablets/capsules by mouth three times daily

In vitro study

In process as of 28.3.2020

- Title: ***In Vitro measurement of viral fusion inhibition and viricidal activity of CZV2.14 and CZV2.14+Humic Acid against SARS-CoV-2 in a human cell line with IC50 and IC90 benchmarks***
- Aim: *At the conclusion of this study, the in vitro potential of CZV2.14 and CZV2.14+Humic Acid to inhibit viral fusion and exert viricidal activity against SARS-CoV-2 in a human cell line will be quantified using a viral plaque assay, transmission electron microscopy, IC50 and IC90 measurements against multiple concentrations of CZV2.14 vs. CZV2.14+Humic Acid*

Toxicity Assays – Humic Acid



Table I. Toxic Concentrations at 50% (TC₅₀) of Humate Materials with Indicated Cell Lines

Humate	TC ₅₀ , µg/mL					
	BSC-1 ^a	HFF ^b	MDCK ^c	LLC-MK ₂ ^d		
				Trial 1	Trial 2a ^f	Trial 2b ^g
CA	>100	>100	>100	>100	>1000	>1000
CGA	>100	>100	– ^e	– ^e	>1000	>1000
HA	>100	>100	>100	>100	>1000	>1000
HGA	>100	>100	– ^e	– ^e	700	>1000

**Not cytotoxic at levels
as least as high as
100ug/mL.**

^aAfrican green monkey kidney cells. ^bHuman foreskin fibroblast cells. ^cMadin Darby canine kidney cells. ^dAdult rhesus monkey kidney cells. ^eNot evaluated. ^fNeutral Red assay. ^gVisual assay.

Broad Spectrum Antiviral Effectiveness of Natural and Synthetic Humates; Virology Branch, Antiviral Research & Antimicrobial Chemistry Program, Division of Microbiology & Infectious Diseases; Screening & Testing Program for Antiviral, Immunomodulatory, Anti-tumor and/or Drug Delivery Activities, National Institutes of Allergy & Infectious Diseases, National Institute of Health (NIH), August 9, 2002

Toxicity Assays

Humic Acid



- Up to 50mg/kg
- Case example: 75kg male
 - 3750mg/day
 - Actual maximum dose, HA = 2250mg/day in current product iteration

Schiller F, Klocking R, Wutzler P, Farber I. Results of an oriented clinical trial of ammonium humate for the local treatment of herpesvirus hominis (HVH) infections. *Dermatol Monatsschr.* 1979 Jul; 165(7): 505-9

Toxicity Assays

Humic Acid + Peptide



Additional studies are not warranted at this time given the current ratio of humic acid : peptide = 250mg : 5ug per tablet or capsule

Schiller F, Klocking R, Wutzler P, Farber I. Results of an oriented clinical trial of ammonium humate for the local treatment of herpesvirus hominis (HVH) infections. *Dermatol Monatsschr.* 1979 Jul; 165(7): 505-9

Cell Proliferation Study Humic Acid



All humates except for synthetic CA with Daudi cells did not inhibit cell proliferation at drug levels at least as high as 50 $\mu\text{g}/\text{mL}$, as shown below in Table II.

Table II. Cell Proliferation Inhibition Concentrations at 50% (CP_{50}) of Humate Materials with Indicated Cell Lines

Humate	CP_{50} , $\mu\text{g}/\text{mL}$	
	HFF ^a	Daudi ^b
CA	71.2	<0.08
CGA	96	>50
HA	88.4	>50
HGA	>100	>50

^a Human foreskin fibroblast cells. ^b Burkitt's lymphoma derived cells.

Broad Spectrum Antiviral Effectiveness of Natural and Synthetic Humates; Virology Branch, Antiviral Research & Antimicrobial Chemistry Program, Division of Microbiology & Infectious Diseases; Screening & Testing Program for Antiviral, Immunomodulatory, Anti-tumor and/or Drug Delivery Activities, National Institutes of Allergy & Infectious Diseases, National Institute of Health (NIH), August 9, 2002

Frequent talking points, 2020.0402

- Attack the body
 - Stimulates a cytokine storm
 - Chronic disease
 - Genomics – NLRP3 and CCL2; IL-1B, CARD8, TNF- α , IL-6
- How infectious:
 - Greater than SARS 2002-2004 (10-20x greater) and influenza.
 - Less than measles and tuberculosis
 - Half life – aerosol 1.2 hrs, on plastic 6.8 hrs, on stainless steel 5.6 hrs, **BUT STILL VIABLE AFTER 72 HOURS on some surfaces**
 - Serious discussion in US of wearing mask if NOT infected. Studies in China showed transmission > 6 feet in hospital settings